

CHANGE OF BROKER DEALER (and/or representative) OF RECORD

Fund
Family
Name &
Address

Gentlemen:

Please change the broker/dealer firm and/or representative on my/our account to the newly designated broker/dealer of First Asset Financial Inc. with the representative designated below as our rep of record. I/we understand this change does not otherwise alter the terms or provisions of my/our account(s):

INFORMATION ABOUT MY ACCOUNT (please print):

**Account Registration
As Showing on Acct:**

Social Security or Tax I.D. Number of Primary Holder of Account: _____ - _____ - _____

Address on my/our account :

*Check this box if this
is a change of address*

FUND ACCOUNT INFORMATION

FUND NAME(S) ↓

ACCOUNT NUMBER(S)

Change of DEALER Information

BE SURE TO CHECK ONE BOX

NEW DEALER DESIGNATION:



First Asset Financial Inc.

Dealer # at fund (if known): _____

110 E. Iron Ave., P.O. BOX 1364
SALINA, KS 67402-1364

Dealer Authorized Signature: _____

Dear Fund Company,
First Asset is authorized to act as our agent in connection with the accounts listed above. Please make any investments in my/our accounts after the date on this form in the name of First Asset Financial Inc. Use all prior instructions on the account-DO NOT change instructions.

An FAFI Account Form is already on file for this account **--OR--**

An FAFI Account Form accompanies this Request for a broker/dealer change

Printed FAF Registered Rep's Full Name & Rep's FAF Number

Address of Office Servicing Account

Registered Rep's Phone #: _____

CLIENT SIGNATURE(S):

Please note that **all account holders must sign** & sign exactly as names appear on the shareholder account:

X _____ Date _____

X _____ Date _____
Signature of Co-Investor

Rep Signature:

X

Former Dealer (optional):