Annuities

AGENT APPOINTMENT REQUEST

Mail complete agent application to:

ING USA Variable Licensing Department

P.O. Box 9216

Des Moines, IA 50306-9216

Or fax your complete application to: (515) 698-2025

If you have any questions, please contact us at: (800) 235-5965



Issued by ING USA Annuity and Life Insurance Company or ReliaStar Life Insurance Company of New York Registered products distributed by Directed Services, Inc., member N.A.S.D..

* If you would like email confirm	ation of your appoin	tment, please provide	your email a	address below.
1. COMPANY APPOINTMENT REQ				
☐ ING USA Annuity and Life Insurance		,,	,	
ReliaStar Life Insurance Company of I	New York (New York pro	ducts only)		
2. TYPE OF REQUEST				
☐ Individual Appointment (Complete se	ctions 1, 2, 3, 5, 6 & 7.)	Corporate Appointme	ent (Complete	sections 4 & 7)
Address Change (Complete sections 3 & 7.)		Broker-Dealer Change (Complete sections 3, 6 & 7.)		
3. INDIVIDUAL APPOINTMENT			, rearriprette se	Choris 5, 0 & 7.,
Name (Last)	(First)		(MI)	(Jr / Sr)
Date Of Birth				
CRD Number				
Residence Address				
City				
Broker-Dealer Name				
Business Address				
City				
Business Phone				
*Email address				
4. CORPORATE APPOINTMENT				
NASD Registered Broker-Dealer Name			Tax ID # _	
Business Address				
City				
Business Phone		Business Fax		
G.A. Name			Tax ID #	
5. STATE APPOINTMENTS (Please lis requested state.)	t all states where you	wish to be appointed. A	/alid license r	must be held in each
Resident State Non-Resi	dent State(s)			

6. BACKGROUND INFORMATION (Please respond to all questions for you personally and any organization over which you have exercised control. If you answer "yes" to any questions, you must attach an explanation with all relevan information and supporting documents.)
1. Have you ever been discharged or permitted to resign from your employment appointment because you were accused of fraud or wrongful taking of property, violating investment-related or insurance-related statutes, regulations, rules or industry standards of conduct, or violating company rules?
2. Within the past 10 years, have you ever initiated bankruptcy proceedings or declared bankruptcy?
3. Do you have any knowledge of an indebtedness to an insurance carrier or financial organization that involves yourself or an organization you have been associated with, or do you have any unsatisfied liens or judgements?.
4. Within the past 10 years, has any insurance carrier canceled your contract or appointment for any reason other than lack of production?
5. Within the past 10 years, have you ever had a complaint filed against you that resulted in a fine, penalty, censure, cease and desist order, consent order or disciplinary action?
6. With the exception of routine traffic violations, have you ever been convicted of or pled guilty or nolo contendere (no contest) in a court to a misdemeanor or felony?
7. Are you involved in any pending or current litigation, investigations, complaints, or E & O claims or has any E & O carrier denied, paid claims on, or canceled your coverage?
8. Have you ever been named as a defendant or codefendant in a lawsuit, or have you ever sued or been sued by an insurance company?
9. Has a bonding company ever denied, paid out on, or revoked a surety or fidelity bond for you, or is there any reason you cannot secure a bond?
10.Have you ever been found guilty or nolo contendere (no contest) of violating state insurance department, federal or state securities or investment related regulation or statute, or have you ever had your insurance license
or securities registration suspended, revoked, investigated, audited or had a license denied?
Thereby certify that my answers to the questions contained in this application are true and correct. I acknowledge that INC USA Annuity and Life Insurance Company or ReliaStar Life Insurance Company of New York (hereinafter called the "Company, "we," or "us," as the case may be) has informed me of the Company's practice to conduct routine investigative reports on agent for licensing purposes, initial and renewal state appointments, and at any time the Company, at its discretion, deems it necessar to conduct background investigations. I expressly authorize the Company to conduct these investigations and authorize all person and entities (including past and present employers) to provide the Company all requested information. I release from liability a persons and entities which supply said information to the Company and agree to hold the Company harmless from any liability for conducting this investigation. I authorize the Company to use these investigative reports and to provide these reports and any othe pertinent information to all ING affiliate companies and to third parties where the third parties' legal interests and/or obligations are involved. I also authorize the Company to distribute any financial, business, legal, tax or work performance history regarding methat it receives from third parties, from any ING affiliate companies or which is generated by the Company or from the ING affiliate companies' data source that is not part of the investigative report, to all ING affiliate companies or to third parties including but no limited to agents or agencies that assume my debt balance responsibilities. I hereby authorize any employer, insurance company, general or managing agent, educational institution, financial institution consumer reporting agency, criminal justice agency, insurance department, or individual having any information relating to my activitie to release such information to insurance companies indicated on reverse side, Customer Contact Center, P.O. Box 9271, Des Moines IA 50306-9271 or any
backup withholding as a result of a failure to report all interest or dividends, or (b) the IRS has notified me that I am no longe subject to backup withholding. 3. I am a U.S. citizen (including U.S. resident alien) INSTRUCTIONS: You must strike out the language certifying that you are not subject to backup withholding due to underreporting if the IRS has notified you that you are currently subject to backup withholding because of underreporting interest or dividends of
your tax return and you have not received notice from the IRS advising that backup withholding has terminated. The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.
I also certify by my signature below that I authorize the Company, now or in the future, to obtain an investigative consumer report on me.
Signature of Representative or Signature of Principal Officer for Broker-Dealer Corporate Appointment.
Signature Date